

# Anisotropic soap bubbles

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in collaboration with Aldo Pratelli (Pisa) and Giorgio Stefani (SNS, Pisa).

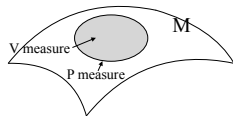
- 1 Introduction to soap bubble clusters
- 2 Anisotropic planar case
- 3 Symmetric double bubbles in the Grushin plane
- 4 (Non-)Existence of anisotropic  $m$ -clusters

# Introduction to soap bubble clusters

# Minimal partition problem

$M$  smooth manifold of dimension  $n$ , endowed with:

- $V$  volume measure  $\rightarrow$  “ $n$ -dimensional meas”,
- $P$  perimeter measure  $\rightarrow$  “ $(n - 1)$ -dimensional meas”,

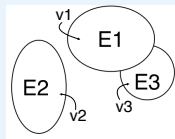


## Minimal partition problem

Given  $v_1, \dots, v_m > 0$ , let

$$\mathcal{C}(v_1, \dots, v_m) = \left\{ E \subset M : E = \bigcup_{i=1}^m E_i, V(E_i) = v_i, i = 1, \dots, m \right\}$$

where  $E_1, \dots, E_m$  are pairwise disjoint.



**Problem:**  $\inf \{ \mathcal{P}_P(E) : E \in \mathcal{C}(v_1, \dots, v_m) \},$

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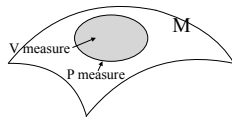
$$\mathcal{P}_P(E) = \frac{1}{2} \left( \sum_{i=1}^m P(E_i) + P(M \setminus E) \right)$$

Solutions are called *m-minimal clusters*.

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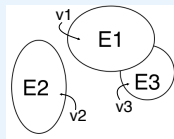


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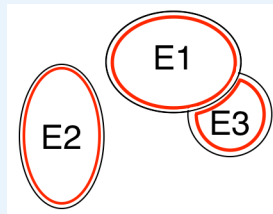


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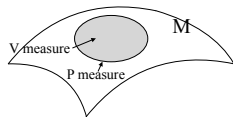
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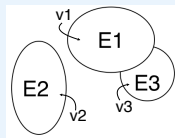


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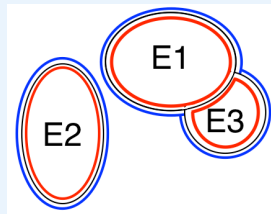


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# Minimal cluster problem: Euclidean case

## Example 1

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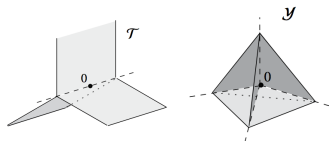
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$E$  minimal cluster  $\implies \partial E$  is the union of smooth surfaces meeting in threes along an edge (**Plateau border**), at an angle of **120 degrees**. These Plateau borders, in turn, meet in fours at a vertex at the *tetrahedral angle*.



Images from [Maggi (2012)]

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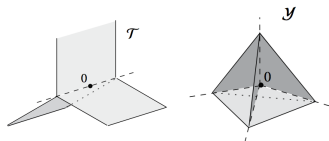
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- *Existence of solutions and structure of singularities for  $n = 2$ :* [Morgan (1994)] (singularities are only at 120 degrees).

# Isoperimetric problem

( $m=1$ )

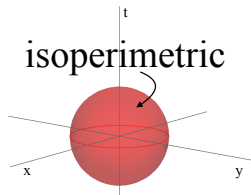
## Isoperimetric Problem

Given  $v > 0$ , consider

$$\inf\{P(E) : E \subset M, V(E) = v\}.$$

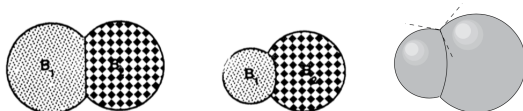
Solutions are called *isoperimetric sets*.

Isoperimetric sets are balls [De Giorgi (1958)]



# Minimal cluster problem: Euclidean case

( $m = 2$ ) **Double bubble problem.** Solutions are **standard double bubbles**:



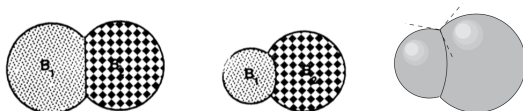
Images from [Foisy & al. (1993)] and [Maggi (2012)]

These are given by *three*  $(n - 1)$ -dimensional spherical cups intersecting in a  $(n - 2)$ -dimensional sphere at an angle of 120 *degrees*.

→  $n = 2$  [Foisy et. al. (1993)];  $n = 3$  [Hutchings, Morgan, Ritoré, Ros (2002)];  $n \geq 4$  [Reichardt (2008)].

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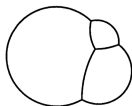


Image from [Wichiramala (2004)]

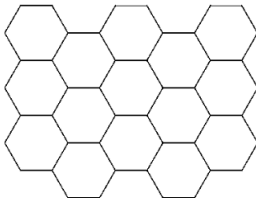
given by *six* arcs of circle intersecting at an angle of 120 *degrees*. [Wichiramala (2004)]

## Minimal cluster problem: Euclidean case

( $m \geq 4$ ) OPEN.

...

(“ $m = \infty$ ”) Honeycomb theorem [[Hales](#) (2001)] : a regular hexagonal grid is the best way to tassellate the plane into regions of equal area with the least total perimeter.



*Image from Morgan's book*

# Minimal cluster problem: Riemannian case

## Example 2

$M$  = Riemannian manifold,  $P$  = *Riemannian perimeter*,  $V$  = *Riemannian measure*

- *Existence of solutions and structure of singularities* from [Almgren (1986)]

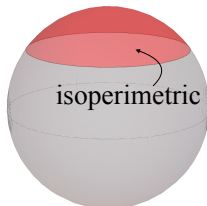
# Minimal cluster problem: Riemannian case

## Example 2

$M$  = Riemannian manifold,  $P$  = Riemannian perimeter,  $V$  = Riemannian measure

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( $m = 1$ )  $M = n$ -Sphere or the Hyperbolic space: solutions *metric balls*.

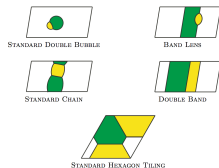
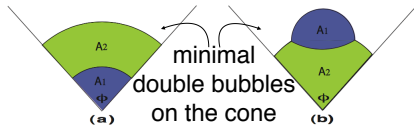
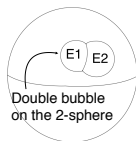




# Minimal cluster problem: Riemannian case

( $m = 2$ )

- $M = \mathbb{S}^n$ : if  $n = 2$  solutions are standard double bubbles [Masters (1996)].  
If  $n \geq 3$  only partial results are available.
- $M = 2$ -dimensional boundary of the cone in  $\mathbb{R}^3$ : 2 types of minimizers (two concentric circles or a circle lens) [Lopez & Baker (2006)].
- $M = \text{flat } 2\text{-torus}$ : 5 types of minimizers [Corneli et. al.(2004)] .



## Anisotropic planar case

# Anisotropic planar case

Want to study:

$$\inf \left\{ \mathcal{P}_P(E) : E = \bigcup_{i=1}^m E_i \subset \mathbb{R}^2, V(E_i) = v_i, i = 1 \dots m \right\}$$

- $n = 2$ . Denote points as  $(x, y) \in \mathbb{R}^2$ ;
- $V$  **anisotropic volume**: Given  $\beta \in \mathbb{R}$ , and a Borel set  $E \subset \mathbb{R}^2$ , we consider its volume to be defined as

$$V_\beta(E) = \int_E |x|^\beta dx dy;$$

- $P$  **anisotropic perimeter**: Given  $\alpha > 0$ , and  $E \subset \mathbb{R}^2$ ,  $\mathcal{L}^2$ -measurable we consider its perimeter to be defined as

$$P_\alpha(E) = \sup \left\{ \int_E \partial_x \varphi_1 + |x|^\alpha \partial_y \varphi_2 dp : \varphi = (\varphi_1, \varphi_2) \in C_c^\infty(\mathbb{R}^2; \mathbb{R}^2), \|\varphi\|_\infty \leq 1 \right\}.$$

This is also called the **Grushin perimeter**.

## Preliminary remarks on volume and perimeter

$$V_\beta(E) = \int_E |x|^\beta \, dx dy; \quad P_\alpha(E) = \sup \left\{ \int_E \partial_x \varphi_1 + |x|^\alpha \partial_y \varphi_2 \, dp : \varphi \in C_c^\infty, \|\varphi\|_\infty \leq 1 \right\}.$$

### On the anisotropic volume

- If  $\beta = 0$ ,  $V_\beta = \mathcal{L}^2$ .
- It is not invariant under  $x$ -translations.

### On the anisotropic perimeter

**Proposition** (Representation formula - Monti & Morbidelli (2004))

$E \subset \mathbb{R}^n$  bounded,  $\partial E$  **Lipschitz**.  $N^E = (N_x^E, N_y^E)$  outer unit normal to  $\partial E$ . Then

$$P_\alpha(E) = \int_{\partial E} \sqrt{|N_x^E|^2 + |x|^{2\alpha} |N_y^E|^2} \, d\mathcal{H}^1.$$

- If  $\alpha = 0$ , then  $P_\alpha = P$ .
- It is an anisotropic perimeter, not invariant under  $x$ -translations.

## A sub-Riemannian underlying geometric structure

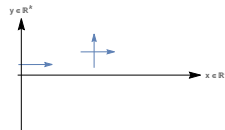
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Despite the fact that  $\mathbf{Y}$  is vanishing along  $\{x = 0\}$ , a distance on  $\mathbb{R}^2$  can be naturally associated with

$$\mathbf{X} = \partial_x, \quad \mathbf{Y}(x, y) = |x|^\alpha \partial_y.$$



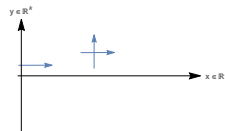
This is not a “Riemannian distance”, but a **sub-Riemannian** one!

## A sub-Riemannian underlying geometric structure

$$V_0(E) = \int_E dx dy; \quad P_\alpha(E) = \sup \left\{ \int_E \mathbf{X} \varphi_1 + \mathbf{Y} \varphi_2 dp : \varphi \in C_c^\infty, \|\varphi\|_\infty \leq 1 \right\}.$$

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**Remark:** For  $\beta = 0$ ,  $P_\alpha$  is then associated with such geometry, and  $V_0 = \mathcal{L}^2$ , i.e.,

$$P_\alpha(E) = \sup \left\{ \int_E \operatorname{div}_{\mathcal{L}^2}(\varphi_1 \mathbf{X} + \varphi_2 \mathbf{Y}) dp : \varphi \in C_c^\infty, \|\varphi\|_\infty \leq 1 \right\}.$$

→ One can consider the *sub-Riemannian perimeter* defined above in any sub-Riemannian structure: **several open problems** (*Pansu’s conjecture, lack of regularity theory, ...* )

# $(m = 1)$ : Grushin isoperimetric problem: ✓

Theorem ([Monti & Morbidelli (2004)])

Let  $v > 0$ . Up to **vertical translations**, there exists a unique solution of

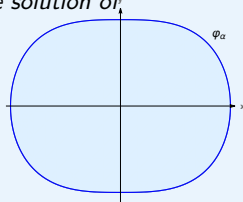
$$\inf\{P_\alpha(E) : \mathcal{L}^2(E) = v\}. \quad (1)$$

If  $E$  solves (1), then there exists  $\lambda > 0$  such that

$$E = \delta_\lambda(E_\alpha),$$

where  $E_\alpha = \{(x, y) \in \mathbb{R}^2 : |y| \leq \phi_\alpha(|x|), |x| \leq 1\}$ , and  $\phi_\alpha : [0, 1] \rightarrow [0, +\infty)$  is given by

$$\phi_\alpha(x) = \int_{\arcsin x}^{\frac{\pi}{2}} \sin^{\alpha+1}(t) dt, \quad \text{for } x \in [0, 1].$$



- $\delta_\lambda(x, y) = (\lambda x, \lambda^{\alpha+1} y)$  are anisotropic dilations such that  $P_\alpha(\delta_\lambda(E)) = \lambda^{Q-1} P_\alpha(E)$ ,  $\mathcal{L}^2(\delta_\lambda(E)) = \lambda^Q \mathcal{L}^2(E)$  for  $Q = \alpha + 2$ .
- For  $\alpha = 1$ ,  $\phi_\alpha$  is the profile function of the Pansu set.

**NB:** Here rearrangements work!



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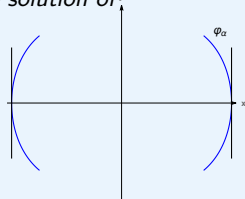
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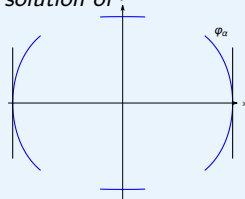
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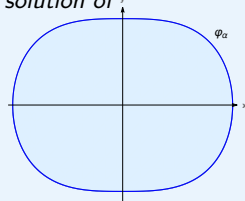
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## $(\mathbf{m} \in \mathbb{N})$ Grushin minimal partition problem

Given  $m \in \mathbb{N}$ ,  $v_1, \dots, v_m > 0$  study

$$\inf \left\{ \mathcal{P}_\alpha(E) = \frac{1}{2} \left( \sum_{i=1}^m P_\alpha(E_i) + P_\alpha(E) \right) : E \in \mathcal{C}(v_1, \dots, v_m) \right\},$$

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We address the following problems

1) Characterize solutions for  $(\mathbf{m} = 2)$  under additional assumptions:  
Symmetric double bubbles in the Grushin plane. (joint work with [G. Stefani](#))

2) Prove existence of minimal clusters for any  $\mathbf{m} \in \mathbb{N}$ . (ongoing work with [A. Pratelli](#), [G. Stefani](#))

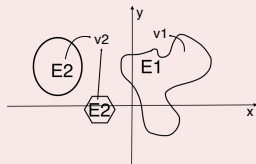
## Symmetric double bubbles in the Grushin plane

# Grushin double bubble problem

Want to study: Grushin double bubble problem

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$$\mathcal{P}_\alpha(E) = \frac{1}{2}(P_\alpha(E_1) + P_\alpha(E_2) + P_\alpha(\mathbb{R}^2 \setminus E)).$$

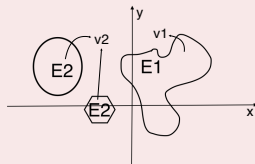


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We consider the double bubble problem under more restrictive conditions:

- (1) We assume  $v_1 = v_2 = v \geq 0$ .
- (2) We assume specific structures of interfaces.

**Problem 1: (DBV)** Double bubbles with vertical interface:  $\mathcal{C}(v_1, v_2)$  replaced by

$$\mathcal{A}^V(v) = \{ E \subset \mathbb{R}^2 : \mathcal{L}^2(E \cap \{x > 0\}) = \mathcal{L}^2(E \cap \{x < 0\}) = v \}.$$

**Problem 2: (DBH)** Double bubbles with horizontal interface:  $\mathcal{C}(v_1, v_2)$  replaced by

$$\mathcal{A}^H(v) = \{ E \subset \mathbb{R}^2 : \mathcal{L}^2(E \cap \{y > 0\}) = \mathcal{L}^2(E \cap \{y < 0\}) = v \}.$$

# Main result

## Theorem (F., Stefani)

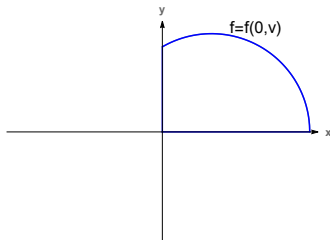
Let  $\nu > 0$ . Then solutions to problems **(DBV)**, **(DBH)** exist. Moreover, the following statements hold.

**(DBV)** If  $E^\nu \subset \mathbb{R}^2$  is a solution to **(DBV)**, then, up to vertical translations, we have

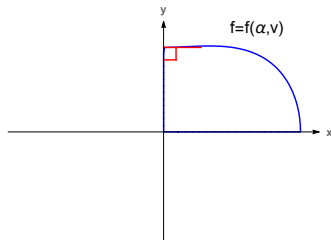
$$E^\nu = \{(x, y) \in \mathbb{R}^2 : |y| \leq f(|x|), |x| \leq r\},$$

where  $f \in C([0, r]) \cap C^\infty(]0, r[)$ ,  $r \in ]0, +\infty[$ , depends explicitly on  $\nu$  and  $\alpha$ . In particular, if  $\alpha > 0$ , then  $f'(0) = 0$ .

Case  $\alpha = 0$



Case  $\alpha = 1$





# Main result

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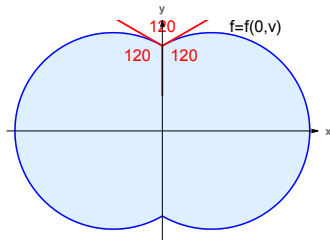
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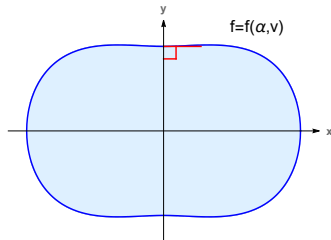
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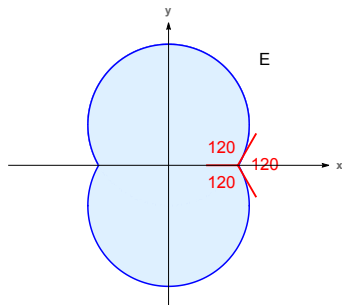
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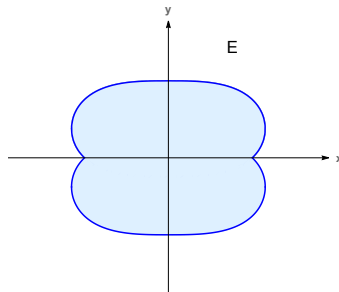
$$E^H = \delta_{\frac{1}{h}} \left( \left\{ (x, y) \in \mathbb{R}^2 : \left( x, |y| - \phi_\alpha \left( \frac{\sqrt{3}}{2} \right) \right) \in E_\alpha \right\} \right),$$

where  $\phi_\alpha : [0, 1] \rightarrow [0, +\infty[$  is the isoperimetric profile and  $h$  depends explicitly on  $v, \alpha$ .

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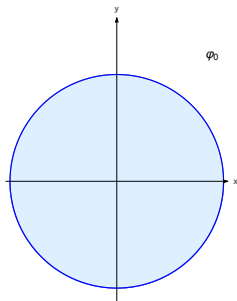
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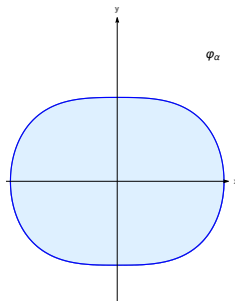
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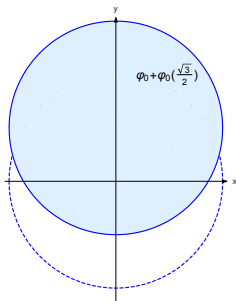
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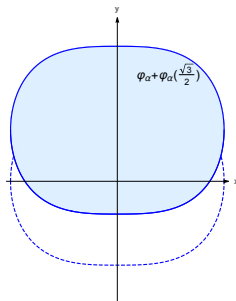
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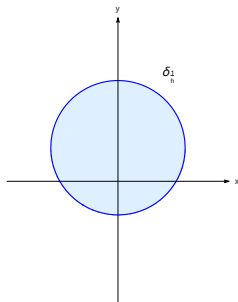
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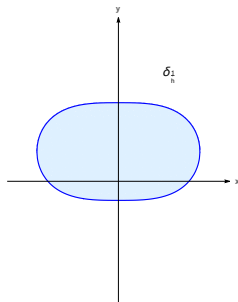
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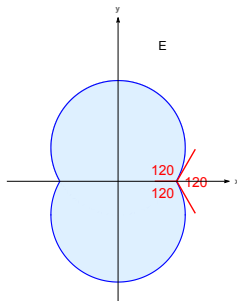
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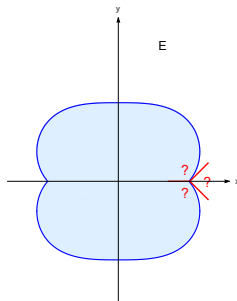
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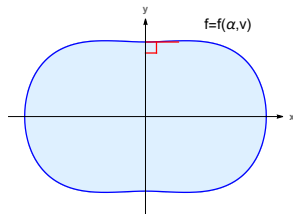
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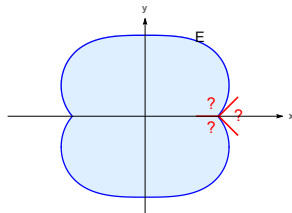
# 120 degrees rule.

In the Euclidean setting ( $\alpha = 0$ ):  $E \subset \mathbb{R}^2$  minimal cluster  $\implies \partial E$  is the union of three arcs of circumference meeting in threes at an angle of 120 degrees.

What about 120 degrees rule in the case  $\alpha > 0$ ?



**(DBV)** The angle of interface between the bubbles is flat.



**(DBH)** The angle of interface between the bubbles depends on  $\alpha, v$ .

## 120 degrees rule.

Transformed plane [Monti & Morbidelli (2004)]

The diffeomorphism  $\Psi : \mathbb{R}_{x,y}^2 \rightarrow \mathbb{R}_{\xi,\eta}^2$ ,  $\Psi(x, y) = \left( \operatorname{sgn}(x) \frac{|x|^{\alpha+1}}{\alpha+1}, y \right)$  is such that

$$F := \Psi(E) \quad \implies \quad P_\alpha(E) = P(F), \quad \mathcal{L}^2(E) = \mathcal{M}_\alpha(F) := \int_F |(\alpha+1)\xi|^{-\frac{\alpha}{\alpha+1}} d\xi d\eta$$



## 120 degrees rule.

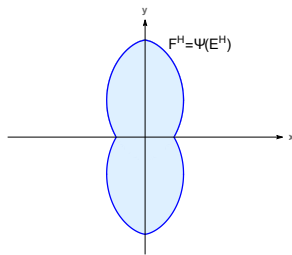
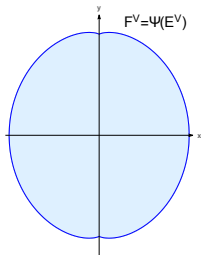
Transformed plane [Monti &amp; Morbidelli (2004)]

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$$\Downarrow$$

$E^V$  solution to **(DBV)**. Let  $F^V = \Psi(E^V)$        $E^H$  solution to **(DBH)**. Let  $F^H = \Psi(E^H)$



# 120 degrees rule.

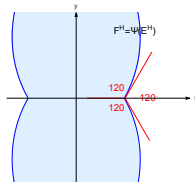
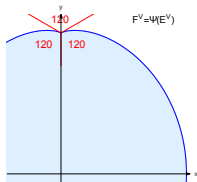
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$E^V$  solution to **(DBV)**. Let  $F^V = \Psi(E^V)$       $E^H$  solution to **(DBH)**. Let  $F^H = \Psi(E^H)$



## Corollary (F., Stefani)

The boundaries of the *transformed* bubbles meet at an angle of 120 degrees.

# Idea of the proof

## 1 Rearrangement theorem.

### Theorem (F., Stefani)

$E \in \mathcal{A}^V$ ,  $P_\alpha(E) < \infty$ . Then, there exists  $\tilde{E} \subset \mathbb{R}^2$  bounded and locally Lipschitz such that

$$\mathcal{P}_\alpha(\tilde{E}) \leq \mathcal{P}_\alpha(E), \quad \text{and} \quad \mathcal{L}^2(\tilde{E}) = \mathcal{L}^2(E).$$

Moreover, if  $E$  is a solution of **(DBV)**, then

$$\mathcal{P}_\alpha(\tilde{E}) = \mathcal{P}_\alpha(E) \implies E = \tilde{E}$$

up to a set of measure zero and a vertical translation.

It is based on a rearrangement on the half plane  $\mathbb{R}^2 \cap \{x > 0\}$  that allows us to decrease the total perimeter of a set possibly increasing the trace on  $\{x = 0\}$ .

- 2 Existence of a bounded and Lipschitz minimizer: via direct method.
- 3 Lipschitz *regularity* of minimizers: via rearrangement.
- 4 *Characterization*: first variation of  $\mathcal{P}_\alpha$  and study of the resulting differential equations.

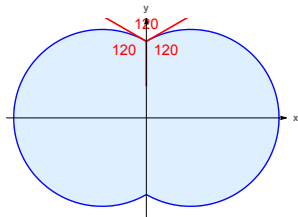
## Comparison between vertical and horizontal

What can we conclude in view of the general double bubble problem?

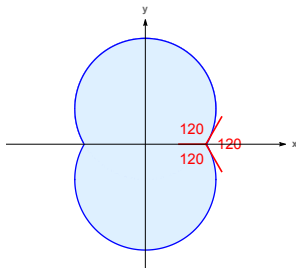
$$\inf \left\{ \mathcal{P}_\alpha(E) : E = E_1 \cup E_2, \mathcal{L}^2(E_i) = v \right\}$$

( $\alpha = 0$ ): Solutions to **(DBV)** and **(DBH)** are the euclidean standard double bubbles.

Vertical interface



Horizontal interface



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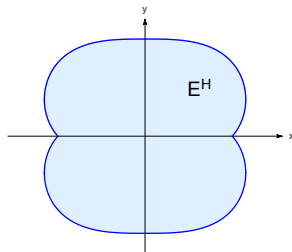
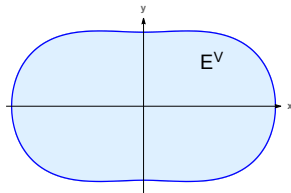
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Vertical Interface

$\alpha = 1$

Horizontal Interface



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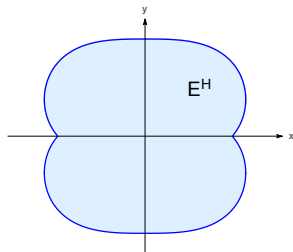
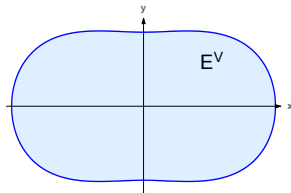
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Vertical Interface

$\alpha = 1$

Horizontal Interface



$$\mathcal{P}_1(E^V) \geq \mathcal{P}_1(E^H)$$

## (Non-)Existence of anisotropic $m$ -clusters

# A more general existence result

## Theorem (F., Pratelli, Stefani)

Let  $\alpha \geq 0$ ,  $\beta \in \mathbb{R}$  and  $m \in \mathbb{N}$ . Given  $v_1, \dots, v_m > 0$ , there exists a solution to

$$\inf \left\{ \mathcal{P}_\alpha(E) = \frac{1}{2} \left( \sum_{i=1}^m P_\alpha(E_i) + P_\alpha(E) \right) : E \in \mathcal{C}_\beta(v_1, \dots, v_m) \right\},$$

where

$$\mathcal{C}_\beta(v_1, \dots, v_m) = \left\{ E \subset \mathbb{R}^2 : E = \bigcup_{i=1}^m E_i, V_\beta(E_i) = v_i, i = 1, \dots, m \right\}.$$

if and only if  $\beta \in ]-1, \alpha]$ .



# Idea of the proof

Nonexistence: If  $\beta \notin [-1, \alpha]$ , we construct a sequence  $E_n$  of sets such that

$$V_\beta(E_n) = v \text{ for all } n \in \mathbb{N}, \quad \text{and} \quad P_\alpha(E_n) \rightarrow 0, \text{ as } n \rightarrow \infty.$$

In particular:

- If  $\beta \leq 1$ , the sets  $E_n$  are approaching  $\{x = 0\}$ ;
- If  $\beta > \alpha$ , the sets  $E_n$  are escaping at infinity in the  $x$ -variable.

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Existence (Step 1): Reduction to  $\beta = 0$ .

## Lemma

Let  $\alpha \geq 0$ ,  $\beta \in ]-1, \alpha]$ . Then there exist a change of coordinates  $\Psi_{\alpha, \beta} : \mathbb{R}_{(x,y)}^2 \rightarrow \mathbb{R}_{(\xi, \eta)}^2$  and a parameter  $\tilde{\alpha} \geq 0$  such that if  $F = \Psi_{\alpha, \beta}(E)$  then (up to a multiplicative constant)

$$P_\alpha(E) = P_{\tilde{\alpha}}(F), \quad V_\beta(E) = \mathcal{L}^2(F).$$

## Idea of the proof

(Step 2) We prove the following for the case  $\beta = 0$

Let  $(E_j)_{j \in \mathbb{N}} = (E_j^1 \cup \dots \cup E_j^m)_{j \in \mathbb{N}}$  be a minimizing sequence for the minimal partition problem. Then there exists a sequence  $\tilde{E}_j \in C(v_1, \dots, v_m)$  such that

- $\mathcal{P}_\alpha(\tilde{E}_j) \leq \mathcal{P}_\alpha(E_j)$ ;
- there exists  $M > 0$  such that  $\tilde{E}_j \subset [-M, M] \times [0, M]$  for all  $j \in \mathbb{N}$ .

Then we conclude by the direct method.

**Strategy:** 1) Project the set  $E_j$  on the  $x$ - and  $y$ -axes, obtaining the sets  $\pi_x(E_j), \pi_y(E_j)$  with finite (equibounded) length

$$\max\{\mathcal{H}^1(\pi_x(E_j)), \mathcal{H}^1(\pi_y(E_j))\} \leq C \sup_{j \in \mathbb{N}} \mathcal{P}_\alpha(E_j) < \infty.$$

2) Cover  $\pi_x(E_j), \pi_y(E_j)$  by disjoint open intervals  $\{I_j^k\}_{k \in \mathbb{N}}$  such that  $\sum_k \mathcal{H}^1(I_j^k) < 2M$ .

3) **Vertical boundedness:** “fill the holes” by moving the stripes towards the  $x$ -axis;

**Horizontal boundedness:** “Order the intervals from the closest to the furthest” from the  $y$  axes and “fill the holes” by moving the stripes towards the  $y$ -axis.



## Ongoing work

- \* Starting from the suggestion given by the interpretation of the 120 degree rule, study the regularity theory for perimeter minimizers in the Grushin plane.
- \* Double bubble problem: remove the assumptions on the type of interface between the bubbles. As a first step, study CMC curves in the Grushin plane.

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**Thank you for your attention!**

